

Leading Financial Crime Compliance APAC Summit

17 – 18 November 2022, Marina Bay Sands Expo and Convention Centre, Singapore

Innovating and building compliance capabilities to manage the risks of disruption, digitisation, and regulatory change

A Summit for senior financial crime and compliance professionals who must advance practice and adapt compliance programmes to meet changing regulatory, business and operational requirements.

Thursday 17 November – Pre-conference workshop (optional)	
09:30–12:30	<p>Financial Crime and Digital Assets</p> <p>This three-hour workshop provides you with an opportunity to explore the drivers behind, and challenges presented by digital assets from a financial crime compliance lense. You will</p> <ul style="list-style-type: none"> • Learn how to build internal capabilities • How to embed digital assets into your infrastructure, risk management and governance framework • Review current typologies and case studies <p>Speaker: Esme Hodson, FICA, <i>Member and Chief Compliance Officer</i>, Standard Chartered Ventures</p>
Thursday 17 November – Conference Day One	
12.00	Lunch & Registration
13.15-13.25	<p>Welcoming Remarks</p> <p>Pekka Dare, <i>Vice President</i>, International Compliance Association</p>
Mitigating & Managing Geopolitical Risks	
13.25-14.10	<p>Keynote & Fireside Chat</p> <p>A view from above – the latest sanctions developments and advice from OFAC</p> <p>Charlotte Baskin-Gorlitz, <i>Sanctions Compliance Officer</i>, OFAC</p>
14.10-14.50	<p>Panel Discussion</p> <p>Assessing emerging risks to effectively manage your sanctions exposure</p> <p>This session will discuss the broad and complex issues affecting compliance professionals, providing steps and tools in order to build and maintain an effective sanctions programme.</p>

	<p>Discussion points include, but not limited to:</p> <ul style="list-style-type: none"> Identifying risks with cross-border relationships Examining the impact of the invasion of Ukraine on the Asian market Navigating the US and China trade sanctions Adhering to human rights sanctions Understanding the risks of sanctions evasion through the use of crypto assets
14.50-15.20	Coffee & Networking
Compliance in the Era of the Disruptors	
15.20-16.20	<p>Peer Group Roundtables</p> <p>Adapt, evolve and innovate: Benchmarking responses to a changing regulatory and business environment</p> <p>Delegates will have the opportunity to attend small group roundtable discussions – each lasting 25 mins. Delegates will be invited to choose two topics from the following list and topic preferences will be allocated on a first-come-first-served basis.</p> <p>Topics to include:</p> <ul style="list-style-type: none"> Third Party Risk Management (TPRM), exposure, managing and mitigating your risks. How do you conduct due diligence and investigation into crypto assets? Managing sanctions risk in investment CTF proliferation, putting it back on the agenda Financial inclusion, banking the unbanked and managing risks Data privacy challenges, what to do in the event of a cross-border data breach Transaction monitoring investigations Emerging regulatory and risks from digital assets, including stablecoins, non-fungible tokens (NFTs), and Central Bank Digital Currencies (CBDCs) Using AI and machine learning to future proof compliance.
16:20-16:30	Transfer time / comfort break
16.30 - 17.10	<p>Panel Discussion</p> <p>Compliance and Digital Assets: Practical application of compliance, regulation and conduct in virtual assets</p> <ul style="list-style-type: none"> Practical application of onboarding VASPs Implications and advice in complying with the FATF travel rule Clarifying responsibilities for consumer protection <p>Panellists:</p> <p>Chee, Jee Meng, <i>Head of Financial Crime Compliance, May Bank</i> Malcolm Wright, <i>Vice Chair, Advisory Council, Global Digital Finance</i> Esme Hodson, <i>Member and Chief Compliance Officer, Standard Chartered Ventures</i></p>
17.10–17.50	<p>Panel Discussion</p> <p>Developing a robust compliance framework to mitigate AML risks in payments</p> <p>We are entering a booming area of compliance as fintech, and crypto firms compete with traditional banks to build banking and payment solutions. The regulatory and supervisory responsibility isn't clear, so what are digital banks and fintechs doing to manage the risks and where does the line of responsibility sit?</p> <p>Panellists:</p> <p>Ajit Singh, <i>Director, Legal AML Compliance, Mastercard</i> Gurpreet Dhaliwal, <i>Head of Payment Compliance, Google.</i></p>
17:50 – 18:00	Closing remarks from Day One of the Conference

	Pekka Dare, <i>Vice President</i> , International Compliance Association	
18.00	Evening Drinks & Networking Reception	
Friday 18 November – Conference Day Two		
Collaborative Approaches to Financial Crime		
9:15-09:25	Welcome to Day 2 Pekka Dare, <i>Vice President</i> , International Compliance Association	
9.25-10.10	Keynote/Panel Preparing for the expansion of Public-Private Partnerships in the APAC region to effectively fight financial crime <ul style="list-style-type: none">Assessing the expectations from the Regulator for Public-Private Partnerships in the region: Timelines and responsibilitiesExploring case study examples from across the region, successes and lessons learnedMaking Public-Private Partnerships work with challenges around data sharingPreparing for future roles and responsibilities in Public-Private Partnerships	
10.10-10.50	Case Study The Fintel Alliance (Australia): How to deliver impact through Public-Private Partnerships <p>This session will explore the details of a successful AUSTRAC-led public-private partnership between Western Australia Police, CBA, NAB, Westpac and ANZ; a joint intelligence operation which identified money laundering syndicates leading to 13 arrests and the seizure of millions in cash and assets.</p> <p>This partnership won the ICA Best Collaboration of the Year Award 2022 and was highlighted as a pioneering case study demonstrating the value of industry and law enforcement working together.</p> <p>Milan Gigovic, <i>Head of Financial Crime Threat Management</i>, Anz</p>	
10.50-11.20	Morning Refreshments & Networking	
Preparing for the Challenges of Tomorrow		
	Peer Group Interactive Workshops Build, develop and enhance: Advancing approaches to mitigate risks and ensure compliance <p>Delegates will have the opportunity to choose from the following sessions depending on their area of interest or focus. Each session will run for 1 hour, providing time for case study presentations, expert insights and panels – with dedicated time for delegates to exchange ideas, share best practice, examine different approaches.</p>	
	Track A – Innovation	Track B – Leadership
11.20-12.20	Case Study Optimising the use of data to combat new money laundering trends <ul style="list-style-type: none">Using AI and machine learning to reduce operational costsLeveraging analytics in preventing financial crimeProactive help in identifying risks and opportunities	Group Discussion Embedding a culture of compliance in small to medium enterprises <ul style="list-style-type: none">How to raise the profile of compliance in the businessThe benefits of involving compliance in product designTips and tricks and simple steps to embedding a culture of compliance
12.20-13.20	Lunch	

13.20 - 14.20	Panel Discussion Adopting a risk-based approach to digital ID and eKYC systems <ul style="list-style-type: none"> • Optimising the customer experience • Implementing a risk-based approach in line with FATF guidance • Advice on documentation capture during on-boarding • Incorporating AML and CFT systems and controls • Best practice for record-keeping requirements 	Panel Discussion Building your Financial Crime team to meet industry expectations for future skills and competencies <ul style="list-style-type: none"> • Designing your team role competency framework for the future • Developing digital capabilities • Generalist vs Specialist roles? • Considerations for small to medium sized enterprises
14:20 – 14:30	<i>Time to move between sessions</i>	
14.30 - 15.30	Expert Insight Understanding your crypto exposure and risk appetite <ul style="list-style-type: none"> • Determining your organisation's exposure to crypto • Identifying customer income derived from crypto • Applying a risk based proportionate approach • Best practice guidance on managing crypto-related risks <p>Confirmed: Malcolm Wright, <i>Vice Chair, Advisory Council</i>, Global Digital Finance</p>	Group Discussion Capturing risks in a holistic way to build operational resilience <ul style="list-style-type: none"> • Transitioning from reactive conduct risk management to a proactive and holistic approach • Highlighting risks before they become a problem • Horizon scanning to identify emerging issues • How to use red flags and key tools to support effective risk management
15:30-15.50	Coffee & Networking	
15.50 – 16.30	Panel Discussion Defining the role of compliance in greenwashing and ESG disclosure requirements <ul style="list-style-type: none"> • Meeting the regulator's expectations • Understanding reporting and disclosure responsibilities for compliance teams • Exploring the potential of AI and ML as an effective solution for ESG reporting • Greenwashing: Establishing the risks and who owns the reporting - fraud or compliance? 	
16.30 – 17.00	Closing Group Discussion An opportunity to reflect on the learnings, insights and key takeaways from this year's Summit.	
17.00	Conference Close	